



SHEPTON MALLET TOWN COUNCIL

COMPLAINTS PROCEDURE

Policy Reference Number: 1916

AUTHOR: Town Clerk

RESPONSIBILITY: Policy and Resources Committee

GRADE: Two – Medium

DATE ADOPTED: 11TH June 2019

REVIEW DATE: Every 3 years

AMENDMENTS:

1.0 **Policy Statement**

1.1 Shepton Mallet Town Council is committed to the highest standards of openness, probity and accountability. It has produced a complaints procedure that ensure that all matters are dealt with in an appropriate manner. This procedure forms part of its policy on good Corporate Governance.

2.0 **Review Statement**

2.1 This policy has been prepared considering prevailing legislation and recognised good practice. New legislation requirements or changes in current legislation may necessitate the review of this policy document. The Council will continue to review and amend all / part of this policy on a regular basis.

3.0 **Equality**

3.1 In putting the procedure into practice, no aspect of the procedure will discriminate on the grounds of race, sex, sexual orientation, gender reassignment, age, religion, politics, marital status, disability, politics, caste and / or union membership or any other grounds likely to place any employee at a disadvantage.

4.0 **Purpose of policy**

4.1 This complaints procedure is intended to ensure that complaints by members of the public about the Council's actions, or lack of action, or standard of service are dealt with promptly and effectively. The object of the procedure is to put things right when they have gone wrong and ensure that mistakes do not recur in the future.

5.0 **Procedure**

5.1 Complaints about the Council's administration and procedures should be made to the Clerk to the Council, and will be dealt with initially by the Clerk. If the complainant is unwilling to approach the Clerk a complaint may be made to the Chairman of the Council, who will refer the complaint either to the Clerk or to a specific panel set up to investigate the complaint. Anonymous complaints will be disregarded automatically.

5.2 Complaints may be made in writing, by email, by phone or in person. Complaints which cannot be dealt with immediately to the satisfaction of the complainant will be acknowledged in writing within 5 working days.

5.3 Complaints should be dealt with promptly. Complaints will be dealt with within 20 working days of receipt, although this time limit may be extended with the agreement of the complainant, or where the Clerk feels it necessary to take legal or other advice.

5.4 Where it appears that the complaint includes an allegation that a criminal offence has been committed the Clerk may deal with the complaint by referring it to the police.

5.6 If a complainant is dissatisfied with the Clerk's decision on a complaint, or if the complaint is not dealt with to the satisfaction of the complainant within the time limit set out above, the complainant can ask for the matter to be referred to a specific panel set up to investigate the complaint.

5.7 Where a complaint is referred to a specific panel set up to investigate the complaint the complainant will be informed of the date, time and place of the meeting. At least ten

working days' notice will be given. The complainant will be invited to attend the meeting and also to submit any documents to which s/he wishes to refer. Any such documents must be received by the Clerk seven working days before the meeting to enable them to be circulated to members. The Clerk will provide to the complainant, within the same timescale, any documents to which s/he wishes to refer.

5.8 At the panel meeting the Chairman should introduce everyone and explain the procedure. The complainant will be asked to outline the grounds of complaint and may then be questioned, first by the Clerk and then by panel members. The Clerk may outline the Council's position and may be questioned, first by the complainant and then by panel members. The Clerk and then the complainant may give a final summary of their position.

5.9 The complainant and the Clerk will then leave the room to allow the panel to reach a decision on the complaint. They will be called back when the panel has reached a decision.

5.10 If the panel considers that a complaint alleges misconduct by an employee the decision on the complaint may be deferred until the allegation has been dealt with under the Council's disciplinary procedure.

5.11 The complainant should be notified in writing within seven working days of the decision and what action will be taken.

5.12 Where a complaint is upheld the Clerk should report to a subsequent meeting of the full council what action has been taken to ensure that any mistake does not recur.

5.13 Any complainant has the right to appoint a professional representative, or other person, to act on their behalf or accompany them in which case all correspondence will be addressed to the representative and not directly to the complainant.

6.0 **Outside the scope of this policy**

6.1 The Local Government Ombudsman has no jurisdiction in respect of parish and town councils except where the council is working jointly with a principle council through a joint committee or is carrying out a function of a principle council.

6.2 This procedure does not apply to:

- complaints about the substance of policy decisions made by the Council
- complaints about the conduct of an individual councillor. Those complaints are made to the Monitoring Officer at Mendip District Council
- complaints by an employee of the Council about the Council's actions as an employer. These complaints are dealt with under the Council's grievance procedure
- complaints by members of the council, who would otherwise have a conflict of interest and who have alternative channels of communication.